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Commissioner for Patents

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SERIAL NO. 09/895,891

TR

IBM DOCKET NO. AUS920010237US1

DATE: October 12, 2005

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Joseph T. Van Leeuwen

10/12/ Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Personnel Management Collaboration

Enclosed are:

X Information Disclosure Statement;

X Modified form 1449 listing 12 references;

X copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

X This IDS is being filed pursuant to CFR § 1.197(c) and:

X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Βv

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COMMISSIONER FOR PATENTS Washington C. 2023

IBM DOCKET NO. AUS920010237US1

DATE: October 12, 2005

ITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Calderaro, et. al. Serial No.: 09/895,891

Filed: June 29, 2001

Title: System and Method for Personnel

Management Collaboration

Group Art Unit: 2167

Intellectual Property Law Department

International Business

Machines Corporation

Intellectual Property Law Dept. :

11400 Burnet Road :

Austin, Texas 78758

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 44,383 Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR				Serial No. <u>09/895,891</u>		
				Applicant: <u>Calderaro, et. al.</u> Filing Date: 6/29/2001		
APPLICANT'S IN PORTION DISCLOSURE				Group: 2167		
STATEMENT O			Atty. Docket No. <u>AUS920010237US1</u>			
		001 1 7 2003 U. S. PAT		ENT DOCUMENTS		
Examiner	篡	Document Number 7RACS 338,042 5,819,231			Classification	Filing Date if
<u>Initial</u>	1378	Number	<u>Date</u>	Name	Class / Subclass	<u>Appropriate</u>
	AA^	338,042	01-2002	Paizis, James N.	705/11	
		• •	10-1998	Tremaine, John L.	705/7	
	AC	6,321,206	11-2001	Honarvar, Laurence	705/7	
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	AE					
	AF					
FOREIGN PATENT DOCUMENTS						
Examiner	Document Translatio					
<u>Initial</u>		Number	<u>Dat</u>	e <u>Country</u>	Classification	Yes No
	AG					
	AH					
	AI					
	AJ					
Examiner		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)				
<u>Initial</u>	AK	The 1999 U.S. National Employee Relationship Benchmark Report, 2000, Walker Information Inc.				
	AK	The 1777 O.S. Ivanonal Employee Relationship Benchmark Report, 2000, Walker Information Inc.				
	AL Commitment in the workplace: the 2000 global employee relationship benchmark report, 20					
Walker Information Inc.						
	AM	Healey, Andrea, "Going beyond pay: companies lure employees with perks," Nov-Dec 1998, <u>ACA News</u> , vol. 41, issue 10, p. 26-29.				
	AN	Lewis, Diane E., "As workers learn to be free agents, firms put new stress on retention," Oct. 31, 1999, Boston Globe, p. D1.				
	AO	Liptow, Jennifer A., "You really like me!" April 1, 2001, Financial Planning, p. 1.				
	AP	Lazar, Gerald, "Keep your key people," May 2001, Electronic Business, p. 86-88, 90, 92, 94.				
	AQ	Ganesan et al., "The impact of staffing policies on retail buyer job attitudes and behaviors," Spring 1996, Journal of Retailing, vol. 72, issue 1, p. 31-57.				
	AR	Fey et al., "Doing business in Russia: effective human resource management practices for foreign firms in Russia," Autumn 1999, <u>Organizational Dynamics</u> , issue 69.				

Examiner: Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.